

Saskatchewan Public Library Governance

CONFLICT OF INTEREST POLICY



Why a Conflict of Interest Policy?

The Library Board of Trustees is committed to avoiding any situation in which the existence of simultaneous, conflicting interests of any Library officer may call into question the integrity of the management or operation of the library. The Board affirms its commitment to adhere scrupulously to all applicable provisions of law regarding material conflicts of interest.

Gifts:

No officer shall directly or indirectly solicit, accept, or receive any money or gift having a value of \$75 or more, whether in the form of cash, check, loan, credit, services, travel, entertainment, hospitality or promise, or any other form, under circumstances in which it could reasonably be inferred that the money or gift was intended to influence him or her in the performance of his or her official duties or was intended as a reward for any official action on his or her part. However, the Board welcomes and encourages the writing of letters or notes expressing gratitude or appreciation to staff members.

Representation Before the Board:

An officer shall not receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before the Library Board of Trustees.

Confidential Information:

No officer shall disclose confidential information acquired by him or her in the course of his or her official duties or use such information to further his or her personal interest.

From time to time an officer may become aware of confidential information about the business affairs of the Library or other companies with which the Library has a relationship. Such confidential information includes any and all information and materials (regardless of media) disclosed or made available to the officer or known by the officer as a direct or indirect consequence of or through employment with the library, including, but not limited to any and all Library proprietary information, information related to the Library's products, services or methods of operation, and information relating to the research, development, purchasing, accounting, marketing, merchandising, or selling activities of the Library.

All Library officers shall treat such information as confidential and shall not directly or indirectly disseminate, disclose, or otherwise make available any such information or any portion thereof to any entity or person other than an employee of the Library with the need to know such information or as otherwise directed by the Library. All employees must also take reasonable precautions to prevent any unauthorized use or disclosure of confidential information.

In addition, he or she shall not disclose information regarding any matters discussed in an executive session of the Board of Trustees regardless of whether or not such information is deemed confidential.

Representation Before the Board for a Contingent Fee:

An officer shall not receive or enter into any agreement, expressed or implied, for compensation for services to be rendered in relation to any matter before the Library Board, whereby the compensation is to be dependent or contingent upon any action by the Board with respect to such matter, provided that this paragraph shall not prohibit the fixing at any time of fees based upon the reasonable value of the services rendered.

Disclosure of Interest in Matters Before the Board:

A member of the Board of Trustees and any officer of the Library, whether paid or unpaid, who participates in the discussion or gives official opinion to the Board on any matter before the Board shall publicly disclose on the official record the nature and extent of any direct or indirect financial or other private interest he or she has in such matter. The term "interest" means a pecuniary or material benefit accruing to an officer.

Investments in Conflict with Official Duties:

No officer or employee of the Library shall invest or hold any investment directly or indirectly in any financial, business, commercial or other private transaction that creates a conflict with his or her official duties.

Certain Real Property Interests Prohibited:

No officer of the Library who has an interest in any real property, either individually or as an officer of a corporation or partnership, shall participate in the acquisition or plan for acquisition of said property or any property adjacent to said property by the Library. The term "participate" shall include the promotion of the site as well as the negotiation of the terms of the acquisition.

Private Employment:

No officer of the Library shall engage in, solicit, negotiate for or promise to accept employment or render services for private interests when such employment or service creates a conflict with or impairs the proper discharge of his or her official duties.

Use of Library Property:

No Library officer shall use or permit the use of property, owned or leased to the library, for anything other than the official purposes or for activities not otherwise officially approved by the Library Board of Trustees.

Prohibited Conflicts of Interest:

No Library officer shall have an interest in any contract between the Library and a corporation or partnership of which he or she is an officer where such Library officer has the power to negotiate, prepare, authorize or approve the contract or authorize or approve payment there under, audit bills or claims under the contract, or appoint an officer who has any of the powers or duties set forth above, and no chief fiscal officer, treasurer or his or her deputy shall have an interest in a bank or trust company designated as a depository paying agent, registration agent or for investment of Library funds of which he or she is an officer. The provisions of this action shall in no event be construed to preclude the payment of lawful compensation and necessary expenses of any Library officer in one or more positions of public employment, the holding of which is not prohibited by law.

Certain Prohibited Actions:

No officer of the Library shall hire, supervise, evaluate, promote, review or discipline any other employee who is a member of the same family. In the event that marriage, promotion, or reorganization results in a situation not in compliance with this policy, reassignment or transfer will be affected in accordance with the applicable provisions of any collective bargaining agreement, the Library will negotiate with the affected officer's reassignment or transfer shall not be the subject of a grievance.

Disclosure of Interests in Contracts:

To the extent known, any officer of the Library who has, or will have, or subsequently acquires any interest in any contract with the Library shall publicly disclose the nature and extent of such interest in writing to the Library as well as to the Library Director as soon as he or she has knowledge of such actual or prospective interest.

Future Employment:

No officer of the library shall, after the termination of service with the Library Board, appear before the Board or any panel or committee of the Board, in relation to any case, proceeding, or application in which he or she personally participated during the period of his or her service or that was under his or her active consideration. This shall not bar or prevent the timely filing by a present or former Library officer of any claim, account, demand or suit against the Library on his or her own behalf or on behalf of any member of his or her family arising out of any personal injury or property damage or for any lawful benefit authorized or permitted by law.

Duty to Report Conflicts of Interest:

In the event that any Library officer knows of or perceives a direct or indirect conflict of interest, he or she shall report it to the Library Board of Trustees. Any resolution of such conflict shall hold the Library's interest paramount, as well as maintain the Board's integrity in its governing role.

Duty to Disqualify:

It is incumbent upon any Library officer, whether paid or unpaid, to disqualify himself or herself immediately whenever the appearance of a conflict of interest exists.

Duty to Report Violations of this Policy:

Any Library officer or any member of the public noting or suspecting a violation is encouraged to report the matter, either in confidence or in public, to the Library Board of Trustees. No Library officer will be retaliated against for making such a report.

Distribution of the Library Officer Conflict of Interest Policy:

The Library Board of Trustees shall cause a copy of this Code of Ethics and Conflict of Interest Policy to be distributed to every officer of the Library. Each officer, elected or appointed, thereafter shall be furnished a copy before entering upon the duties of his or her office.

Penalties:

In addition to any penalty contained in any other provision of law, a Library officer who shall knowingly or intentionally violate any of the provisions of this Conflict of Interest policy may be subject to disciplinary action up to and including the imposition of fines, suspension, and dismissal, in the manner provided by applicable law and consistent with the provisions of any collective bargaining agreement.

Acknowledgment:

The standard of behavior at the _____ Public Library is that all officers, whether paid or unpaid, scrupulously avoid any conflict of interest between the interests of the _____ Public Library on the one hand, and their personal, professional, and business interests on the other. This includes avoiding actual conflicts of interest as well as potential and perceived conflicts of interest.

I understand that the purposes of this policy are to protect the integrity of the _____ Public Library decision-making process, to enable Library constituents to have confidence in the Library's integrity, and to protect the integrity and reputation of all Library officers, both paid and unpaid.

Upon or before election, hiring or appointment, I will make a full disclosure of any and all interests, relationships, and holdings that do create or could potentially create a conflict of interest. This written disclosure will be kept on file and I will update it as appropriate.

During the course of meetings and activities, I will disclose any interests in a transaction or decision where I (including my business and any other non-profit affiliation), my family and/or significant other, employer, or close associates will receive a benefit or gain. After disclosure, I understand that I will be asked to leave the room for the discussion and will not be permitted to vote on the question.

I understand that this policy is meant to be a supplement to good judgment, and I will respect its spirit as well as its wording.

Signature: _____

Print Name: _____

Date: _____

References:

- <http://www.seafordlibrary.org/Code%20of%20Ethics%20and%20Conflict%20of%20Interest.pdf>